



02-0583

RCE/1600 #21  
Reg. RCE  
2/11/03

PTO/SB/30 (10/2001)  
Approved for use through 10/31/2002 OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/733,166
Filing Date	December 8, 2000
First Named Inventor	Compans
Art Unit	1648
Examiner Name	Li, Bao Q.
Attorney Docket Number	96-99

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This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR §1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR §1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR §1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 CFR §1.17(i) required)

b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR §1.17(e) is required by 37 CFR §1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 07-1969

i. ☒ RCE fee required under 37 CFR §1.17(e)

ii. ☒ Extension of time fee (37 CFR §§1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☒ Check in the amount of \$ 375.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print / Type)

Heeja Yoo-Warren

Registration No. (Attorney / Agent)

45,495

Signature

*Heeja Yoo-Warren*

Date

February 3, 2003

**CERTIFICATE OF MAILING OR TRANSMISSION**

**express**

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Name (Print / Type)

Nancy Kemper

Signature

*Nancy Kemper*

Date

February 3, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Compans et al.

Serial No.: 09/733,166

Filed: December 8, 2000

For:

INDUCTION OF  
IMMUNOGLOBULIN CLASS  
SWITCHING BY INACTIVATED  
VIRAL VACCINES

: Confirmation No.: 230

: Group Art Unit: 1648

: Examiner: Li, Bao Q.

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FEB 07 2003

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AMENDMENT AND RESPONSE

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action dated June 4, 2002, Applicants respectfully request reconsideration of the rejections and entry of the present Amendment. This Amendment is accompanied by a Request for Continued Examination (RCE) under 37 CFR 1.114 and the necessary fee.

Please amend the above-referenced application as follows:

In the Claims:

Please cancel claims 21-26, 29, 34-39, and 40 without prejudice and enter the following new claims recited below.

62. (New) A method for inducing an immune response in a human or animal wherein said human or animal has a deficiency in CD4+ T cells, and said method

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